

jc713 U.S. PTO  
12/07/99

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PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 003845.P002

Total Pages 5

First Named Inventor or Application Identifier Clinton Edward Lum

Express Mail Label No. EL143553083US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  Specification (Total Pages 32)  
(preferred arrangement set forth below)  
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3.  Drawings(s) (35 USC 113) (Total Sheets 10)
4.  Oath or Declaration (Total Pages 5)
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
  - i.  DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)

- a.  Computer Readable Copy
- b.  Paper Copy (identical to computer copy)
- c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8.  Assignment Papers (cover sheet & documents(s))

9.  a. 37 CFR 3.73(b) Statement (where there is an assignee)

b. Power of Attorney

10.  English Translation Document (if applicable)

11.  a. Information Disclosure Statement (IDS)/PTO-1449

b. Copies of IDS Citations

12.  Preliminary Amendment

13.  X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

14.  a. Small Entity Statement(s)

b. Statement filed in prior application, Status still proper and desired

15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)

16.  X Other: COPY OF POSTCARD WITH MAIL LABEL

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17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation       Divisional       Continuation-in-part (CIP)

of prior application No: U.S. Provisional, 60/111,292 filed 12/07/98.

18. Correspondence Address

Customer Number or Bar Code Label

(Insert Customer No. or Attach Bar Code Label here)

or

X Correspondence Address Below

NAME Lisa A. Norris

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

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12/01/97

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PTO/SB/05 (12/97)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 12/07/99

  
Lisa A. Norris

Reg. No. 44,976

**EXPRESS MAIL CERTIFICATE OF MAILING**

"Express Mail" mailing label number: EL143553083US

Date of Deposit: December 7, 1999

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to BOX: NEW APPLICATION, Assistant Commissioner for Patents, Washington, D. C.  
20231

Carla Zavala

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)  
12/7/99

(Date signed)

**EL143553083US**

Serial/Patent No.: <u>unassigned</u>	Filing/Issue Date: <u>herewith</u>
Client: <u>Network Ice Corporation</u>	
Title: <u>A Method And Apparatus For Remote Installation Of Network Drivers And Software</u>	
BSTZ File No.: <u>003845_P002</u>	Atty/Secty Initials: <u>DMD/LAN/cz</u>
Date Mailed: <u>12/7/99</u>	Docket Due Date: <u>***</u>
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:	
<input checked="" type="checkbox"/> Amendment/Response ( <u>      </u> pgs.)	
<input type="checkbox"/> Appeal Brief ( <u>      </u> pgs.) (in triplicate)	
<input checked="" type="checkbox"/> Application - Utility ( <u>32</u> pgs., with cover and abstract)	
<input type="checkbox"/> Application - Rule 1.53(b) Continuation ( <u>      </u> pgs.)	
<input type="checkbox"/> Application - Rule 1.53(b) Divisional ( <u>      </u> pgs.)	
<input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal ( <u>      </u> pgs.)	
<input type="checkbox"/> Application - Design ( <u>      </u> pgs.)	
<input type="checkbox"/> Application - PCT ( <u>      </u> pgs.)	
<input type="checkbox"/> Application - Provisional ( <u>      </u> pgs.)	
<input type="checkbox"/> Assignment and Cover Sheet	
<input checked="" type="checkbox"/> Certificate of Mailing	
<input checked="" type="checkbox"/> Declaration & POA ( <u>5</u> pgs.)	
<input type="checkbox"/> Disclosure Dats & Qig & Copy of Invenors Signed Letter ( <u>      </u> pgs.)	
<input checked="" type="checkbox"/> Drawings: <u>10</u> # of sheets includes <u>15</u> figures	
<input checked="" type="checkbox"/> Other: <u>Copy of postcard with express mail label</u>	
<input checked="" type="checkbox"/> Express Mail No.: <u>EL143553083US</u>	
<input checked="" type="checkbox"/> Month(s) Extension of Time	
<input type="checkbox"/> Information Disclosure Statement & PTO-149 ( <u>      </u> pgs.)	
<input type="checkbox"/> Issue Fee Transmittal	
<input type="checkbox"/> Notice of Appeal	
<input type="checkbox"/> Petition for Extension of Time	
<input type="checkbox"/> Petition for _____	
<input checked="" type="checkbox"/> Postcard	
<input type="checkbox"/> Power of Attorney ( <u>      </u> pgs.)	
<input type="checkbox"/> Preliminary Amendment ( <u>      </u> pgs.)	
<input type="checkbox"/> Reply Brief ( <u>      </u> pgs.)	
<input type="checkbox"/> Response to Notice of Missing Parts	
<input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business	
<input type="checkbox"/> Transmittal Letter, in duplicate	
<input checked="" type="checkbox"/> Fee Transmittal, in duplicate	

**FEE TRANSMITTAL FOR FY 1999****TOTAL AMOUNT OF PAYMENT (\$)** 1156.00**Complete if Known:**Application No. UnassignedFiling Date December 7, 1999First Named Inventor Clinton Edward LumGroup Art Unit UnassignedExaminer Name UnassignedAttorney Docket No. 003845.P002**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
Deposit Account Name \_\_\_\_\_

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2.  Payment Enclosed:

Check  
 Money Order  
 Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity			
Fee	Fee	Fee	Fee	Fee Paid
Code	(\$)	Code	(\$)	
101	760	201	380	Utility application filing fee <u>760.00</u>
106	310	206	155	Design application filing fee _____
107	480	207	240	Plant filing fee _____
108	760	208	380	Reissue filing fee _____
114	150	214	75	Provisional application filing fee _____
<b>SUBTOTAL (1)</b>				<b>\$ 760.00</b>

**2. EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims <u>29</u>	$- 20^{**} = 9$	X <u>18.00</u>	= <u>162.00</u>
Independent Claims <u>6</u>	$- 3^{**} = 3$	X <u>78.00</u>	= <u>234.00</u>
Multiple Dependent			= _____

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity	Small Entity	
Fee	Fee	Fee Description
Code	(\$)	
103	18	203 9 Claims in excess of 20
102	78	202 39 Independent claims in excess of 3
104	260	204 130 Multiple dependent claim, if not paid
109	78	209 39 **Reissue independent claims over original patent
110	18	210 9 **Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$ 396.00**

06/08/99

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PTO/SB/17 (12-98)

Patent fees are subject to annual revisions. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid.

See Forms PTO/SB/09-12

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	Surcharge - late filing fee or oath
127	50	227	Surcharge - late provisional filing fee or cover sheet
139	130	139	Non-English specification
147	2,520	147	For filing a request for reexamination
112	920*	112	Requesting publication of SIR prior to Examiner action
113	1,840*	113	Requesting publication of SIR after Examiner action
115	110	215	Extension for response within first month
116	380	216	Extension for response within second month
117	870	217	Extension for response within third month
118	1,360	218	Extension for response within fourth month
128	1,850	228	Extension for response within fifth month
119	300	219	Notice of Appeal
120	300	220	Filing a brief in support of an appeal
121	260	221	Request for oral hearing
138	1,510	138	Petition to institute a public use proceeding
140	110	240	Petition to revive unavoidably abandoned application
141	1,210	241	Petition to revive unintentionally abandoned application
142	1,210	242	Utility issue fee (or reissue)
143	430	243	Design issue fee
144	580	244	Plant issue fee
122	130	122	Petitions to the Commissioner
123	50	123	Petitions related to provisional applications
126	240	126	Submission of Information Disclosure Stmt
581	40	581	Recording each patent assignment per property (times number of properties)
146	760	246	For filing a submission after final rejection (see 37 CFR 1.129(a))
149	760	249	For each additional invention to be examined (see 37 CFR 1.129(a))

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 0**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Lisa A. Norris

Signature Date 12/07/99Reg. Number 44,976

Deposit Account User ID \_\_\_\_\_

(complete if applicable)